

PATENT  
Attorney Docket No. 5740.02  
Express Mail Label No. EV 156912712 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Herbert J. Neuhaus et al.

Appln. No. 09/812,140

Filed: 19 March 2001

For: ELECTRICAL COMPONENT ASSEMBLY  
AND METHOD OF FABRICATION

Confirmation No.: 7640

Group Art Unit: 2826

Examiner: Alexander O. Williams

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Commissioner for Patents  
Washington, D.C. 20231

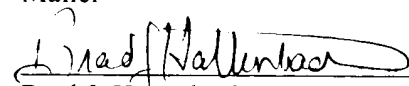
Sir:

The undersigned hereby certifies that the following documents:

1. Fourth Supplemental Information Disclosure Statement Under 37 C.F.R. §§ 1.97(c)(1) and 1.97(c)(2) and § 1.98;
2. Information Disclosure Statement by Applicant (Form PTO-1449);
3. Photocopy of PCT Written Opinion dated 10 September 2002 of corresponding International Application No. PCT/US01/20094;
4. Three (3) prior art references;
5. Check for \$180.00;
6. Certificate of Mailing by Express Mail; and
7. Return Card,

relating to the above application, were deposited as "Express Mail," Mailing Label No. EV 156912712 US with the United States Postal Service, addressed to Commissioner for Patents, Washington, D.C. 20231, on this 9 day of October 2002.

  
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Brad J. Haltenbach, Reg. No. 42,642  
Attorney for Assignee  
DORSEY & WHITNEY LLP  
USPTO Customer No. 20686



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FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
Under 37 C.F.R. §§ 1.97(c)(1) and 1.97(c)(2) and § 1.98

Commissioner for Patents  
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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Name: Kristi May

Signature: Kristi May

Sir:

The Examiner is requested to consider the references noted on the enclosed Form PTO-1449 during examination of the above-identified patent application. These references are submitted for the Examiner's consideration and are submitted pursuant to the duty of disclosure under 37 C.F.R. § 1.56. In submitting these references, no representation is made or implied that the references are or are not material to the examination of the application. The Examiner is encouraged to make his or her own determination of materiality.

In accordance with 37 CFR § 1.56, the references listed on the attached form PTO-1449 are being brought to the attention of the Examiner for consideration in connection with the

examination of the above-identified patent application. Copies of the cited documents are enclosed.

The European patent application reference cited on the attached PTO-1449 was cited in a PCT Written Opinion dated 10 September 2002, of corresponding International Application No. PCT/US01/20094. A copy of the PCT Written Opinion is enclosed herewith.

**Statement Under 37 CFR § 1.97(e)(1) and § 1.704(d)**

The undersigned hereby certifies that the European patent application reference contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than one month prior to the filing of this information disclosure statement.

Pursuant to 37 C.F.R. § 1.97(c)(2), a check for \$180.00 is enclosed as a first Office action has been issued in this matter. Should any additional fees be deemed necessary, such fees may be charged to Deposit Account No. 04-1415.

Should the examiner have any questions concerning the relevance of the documents cited in this disclosure, please contact the undersigned attorney.

Dated: 9 October 2002.

Respectfully submitted,



Brad J. Hattenbach, Reg. No. 42,642  
DORSEY & WHITNEY LLP  
USPTO Customer No. 20686

cc: Nanopierce Technologies, Inc.  
IP Docketing